

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: Kyle Patrick Harrington

FILE NO. 1300154

CONSENT ORDER OF DISMISSAL

TO THE RESPONDENT:

Kyle Patrick Harrington
1540 Soledad Ave.
LaJolla, CA 92037

314 E. 41st Street
Suite 806B
New York, New York 10007

National Securities Corporation
1001 4th Ave # 3750
Seattle, WA 98154

WHEREAS, Respondent on the 31st day of May, 2013 executed a certain Stipulation to Enter Consent Order of Dismissal (the "Stipulation"), which hereby is in corporate by reference herein.

WHEREAS, by means of the Stipulation, Respondent has admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department, dated March 25, 2013 in this proceeding (the "Notice") and Respondent has consented to the entry of this Consent Order of Dismissal ("Consent Order").

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the following allegations contained in the Notice of Hearing shall be adopted as the Secretary of State's Findings of Fact:

- 1 That at all relevant times, the Respondent was registered with the Secretary of State as a Salesperson in the State of Illinois pursuant to Section 8 of the Act

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2. That on December 28, 2012 FINRA entered LETTER OF ACCEPTANCE, WAIVER AND CONSENT ("AWC or "ORDER") submitted by the Respondent regarding Disciplinary Proceeding No. 2011030176901 which sanctioned the Respondent at follows:
 - a) Suspended from association with any FINRA member in any capacity for thirty (30) business days; and
 - b) Fined \$15,000.
3. That the Order found: Registered representative [Kyle Patrick Harrington] filed a personal bankruptcy petition and failed to disclose that material fact on his forms U4. FINRA BY-LAWS-ARTICLE, Section 2(C), FINRA Rules 1122, 2010.
4. That Section 8.E(1)(j) of the Act provides, inter alia, that the registration of a salesperson may be revoked if the Secretary of State finds that such Salesperson has been suspended by any self -regulatory organization Registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or a practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory Organization.
5. That FINRA is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act pursuant to Section 8.E(1)(j) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged, without admitting or denying the averments, that the following shall be adopted as the Secretary of State's Conclusion of Law:

The Respondent Kyle Patrick Harrington's registration as a salesperson in the State of Illinois is subject to revocation pursuant to Section 8.E(1)(j) of the Act

WHEREAS Section 130.110 of Rules and Regulations under the Illinois Securities Law of 1953, Section 8 E(1)(j) provides that the additional fee for the failure to file or file timely any required post registration or post notification document (other than statement of financial condition or financial statement) is \$50 00.

WHEREAS Respondent by means of the Stipulation, acknowledges and agrees that he shall pay the sum of \$50.00 in payment of the fee required under Section 130.110 of the Rules, Section 8.E(1)(j). The Fee has been paid by check made out to "Secretary of State", and enclosed with the Stipulation.

WHEREAS by means of the Stipulation, Respondent has acknowledged and agreed that he shall be levied costs incurred during the investigation of this matter in the amount of One Hundred dollars (\$100.00), said amount has been paid by certified or cashier's check, made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund.

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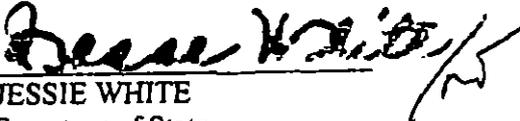
WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that he has submitted with the Stipulation a certified or cashier's check in the amount of One Hundred dollars (\$100.00) to cover costs incurred during the investigation of this matter, Said check has been made payable to the Office of the Secretary of State, Securities Audit and Enforcement Fund,

WHEREAS, the Secretary of State, by and through his duly authorized representative, has determined that the matter related to the aforesaid formal hearing may be dismissed without dismissed without further proceedings.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED THAT.

1. The Notice of Hearing dated March 7, 2013, is dismissed.
2. The Respondent shall pay the Fee of \$50.00 required under Rule 130.110 of the Rules, Section 8.E(1)(j), and on May 31, 2013 has submitted Fifty Dollars (\$50.00) in payment hereof.
3. The Respondent is levied costs of investigation in this matter in the amount of One Hundred dollars (\$100.00), payable to the Office of the Secretary of State, Securities Audit and Enforcement fund, and on May 31, 2013 has submitted One Hundred dollars (\$100.00) in payment thereof.
4. The formal hearing scheduled on this matter is hereby dismissed without further proceedings.

ENTERED: This 3rd day of June 2013.


JESSIE WHITE
Secretary of State
State of Illinois

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